



1204.41191X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Y. KURATA, et al.
Serial No.: 10/049,672
Filed: APRIL 30, 2002
Title: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL
POLISHING, AND METHOD OF POLISHING SUBSTRATE
MEMBER
Group AU: 1765
Examiner: Duy Vu Nguyen Deo
Confirm. No: 7706

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Mail Stop: AMEND – FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

March 30, 2006

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action issued in a counterpart Japanese application, together with copies of documents cited in this Official Action, i.e., Japanese patent Document No. 2001-015463. Also enclosed is an English translation of the Official Action, and an English abstract of No. 2001-015463. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

Japanese Patent Document No. 11-021546, cited in the enclosed Official Action, has previously been considered by the Examiner in the above-identified application, and a copy thereof is not enclosed herewith.

03/31/2006 JADD01 00000060 10049672
This Information Disclosure Statement is being submitted subsequent to 180.00 0P
01 FC:1806

issuance of a non-final Office Action on the merits in the above-identified application, the above-identified application not being under a Final Office Action or under any other Office Action closing prosecution on the merits in the above-identified

application. For satisfying requirements of 37 CFR 1.97(c), the necessary fee under 37 CFR 1.17(p) is enclosed.

To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied by the enclosed English translation of the aforementioned Official Action, and/or by the English abstract enclosed with the non-English language document cited in this Official Action and enclosed herewith.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 1204.41191X00), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

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MAR. 30 2006

PTO/SB/08A (08-03)

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>March 30, 2006 (use as many sheets as necessary)</p>				<p>Complete if Known</p>	
<p>Application Number</p>		<p>10/049,672</p>			
<p>Filing Date</p>		<p>02/15/2002</p>			
<p>First Named Inventor</p>		<p>Yasushi KURATA</p>			
<p>Art Unit</p>		<p>1765</p>			
<p>Examiner Name</p>		<p>Duy Vu Nguyen Deo</p>			
Sheet	1	of	2	<p>Attorney Docket Number</p> <p>1204.41191X00</p>	

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)				
		JP 2001-015463	01/19/2001	L. Tsun-Ho, et al.		A

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

March 30, 2006

(use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	10/049,672
Filing Date	02/15/2002
First Named Inventor	Yasushi KURATA
Group Art Unit	1765
Examiner Name	Duy Vu Nguyen Deo
Attorney Docket Number	1204.41191X00

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). **2** Applicant is to place a check mark here if English language Translation is attached.

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